

Survey: LER_X / LER20_X
 Forms: LERxx / LER20xx

Large Exposure Reporting

Information on this release

Release	1.5
Valid from	30/09/2024
Publication	11/06/2024

1. General

Changes

As of reference date 30 September 2024, in accordance with information provided by FINMA on 7 June 2024, it will no longer be possible to indicate 'CONFIDENTIAL' instead of the name of a counterparty. For further details, see the FINMA information letter or contact FINMA directly.

Survey documents, reporting requirements and data submission

Survey documents	https://emi.snb.ch/en/emi/LERX
Reporting requirements	eSurvey – user administration
Responsibilities	The details of data providers and information providers must be entered, and where necessary updated, by the eSurvey administrators.
Initial reference date	LER_U: 30.09.2024 LER_K: 31.12.2024 LER20_X: 31.12.2024
Submission deadlines	6 weeks
Data submission	Via eSurvey: https://surveys.snb.ch/

Contact details and news

Contact details	www.snb.ch (The SNB/Statistics/Surveys/Contacts)
Automatic notification via RSS and News Alert	www.snb.ch (The SNB/Statistics/Surveys/Information on reporting)

Release Notes

2. Changes since last release

Forms

Previous

New

Comment added in columns: "Counterparty name" (column K) and "Comment" (LER_U, LER_K: column AI, LER20_X: column AE):

following special characters excluded:
< > & ' /

Notes

Previous

New

LERX_Notes_1.4.pdf

LERX_Notes_1.5.pdf

LER20X_Notes_1.4.pdf

LER20X_Notes_1.5.pdf

Column: "Counterparty name" (K)

Column: "Counterparty name" (K)

Name of the counterparty (or group of connected counter-parties). Add name of the beneficial owner if different from the counterparty.

Name of the counterparty (or group of connected counterparties). Add name of the beneficial owner if different from the counterparty. The names provided must be complete (i.e. including first names for individuals). Anonymisations such as "CONFIDENTIAL" are not permitted.

Banks that for motivated confidentiality reasons do not want to disclose the counterparty's name (e.g. of HNWI) may enter "CONFIDENTIAL" for counterparty types PRI, OTH, NFC and INV, and should inform FINMA on a need-to-know basis.

In case of non-intragroup positions to a group of connected counterparties, please report the aggregate position under the name of the group or beneficial owner (respectively, the controlling entity / person in case of operating legal entities and partnerships). The counterparty name should be kept constant over time.

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